Graduate Student Handbook 2015-2016
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Ph.D. Degree Requirements & Guidelines

Coursework Requirements and Time to Degree
The course requirements reflect the Department’s desire to provide its graduate students with a firm grounding in Latin American, Iberian, and U.S. Latino literatures and cultures. The course requirements contain both mandatory courses that provide essential tools for scholarly investigation as well as a high degree of flexibility that allows students to specialize in particular subfields and to undertake interdisciplinary investigations.

Students are required to take a total of 18 courses: 9 courses in each of the first two years of study. During the second year, when typically students hold teaching assistantships, the program’s flexibility will also allow students to take independent study classes. The time to degree will typically be five years. If newly-admitted students are not already fluent in both Spanish and Portuguese, language study during the summer prior to matriculation is encouraged. More information regarding languages can be found on the following pages.

Courses
Individualized programs of study tailored to students’ diverse interests and levels of preparation are supported. Students are encouraged to seek coursework and advisors from among departmental faculty and affiliated faculty at Northwestern.

1. Required Courses.

   During the first two years, our graduate students must take two courses each quarter within our department. The following three courses are required as part of their coursework.

   - SPANPORT 401 - Introduction to Literary and Cultural Theory, when offered
   - SPANPORT 495 - Practicum in Scholarly Writing and Publication
   - SPANPORT 496 - Dissertation Prospectus Colloquium

2. Additional Extra-Departmental Courses

   Students are required to take at least 2 courses germane to their areas of interest outside the Department of Spanish and Portuguese. These courses will be chosen in consultation with the DGS.
3. Independent Study & Research

Two types of advanced courses, 499 Independent Study (or 499 Projects) and 590 Research, are applicable to residency credit but bear restrictions concerning either when they may be taken during a student’s academic career or total allowable credits.

**590 Research**

Department courses identified as 590 Research, which apply toward residency, may be taken for one, two, three, or four course units per quarter. Generally, this registration is not available to students until a core of basic courses has been completed. All 590 Research registration must be taken on a P/N basis. A grade of K, not a Y, is given when the work for 590 is still in progress. The one-year deadline to make up an incomplete does not apply to the K grade.

**499 Independent Study**

First-year graduate students are discouraged from enrolling in 499. Generally, students in the program take such courses in their second year, as their third course in the department.

Northwestern University is affiliated with the [Traveling Scholar Program](#), sponsored by the Committee on Institutional Cooperation (CIC), a consortium of the Big Ten universities and The University of Chicago. This program enables a full-time PhD student in any of the participating universities to register for courses at other CIC institutions upon the recommendation of the student’s advisor and with prior agreement between the advisor and the course instructor.

Students who wish to participate must begin the application process six weeks prior to the first day of class. For appropriate forms and more information, see the CIC Web site.

5. Transfer Credit

In rare instances, at the end of their first year of study, students who have completed significant graduate-level coursework before enrolling at Northwestern may petition the Graduate Studies Committee for up to two course exemptions.

**Languages**

Students must be fluent in English. Students must also be fluent in either Spanish or Portuguese. If Spanish is the primary language of research, students must demonstrate knowledge of Portuguese, or vice-versa. Furthermore, depending on their area of specialization, students will be encouraged to demonstrate knowledge of an additional language germane to their area of research. This requirement recognizes the linguistic plurality of Latin America, Iberia, and their diasporic and formerly colonized populations worldwide, and stresses the importance of working cross-culturally in these contexts.
Students may demonstrate proficiency either by placing into SPAN 201 or PORT 201 (as applicable) or by completing coursework (minimum grade of B+) equivalent to the prerequisite for SPAN 201 or PORT 201. The requirement regarding proficiency in the secondary language(s) must be fulfilled by the end of Fall quarter of the third year; students are encouraged to satisfy this requirement by the end of the first year.

In the summer or early fall before the first academic year, incoming graduate students will take the diagnostic exam used at Northwestern to determine the competence of entering freshmen in non-English languages. In consultation with the Director of Graduate Studies, the student will take the exam in his or her secondary language/s (Portuguese or Spanish and another language if necessary) as administered by the Spanish or Portuguese Language Programs (and, if appropriate, another Northwestern language department or program) and/or the Northwestern Council on Language Instruction.

**Proposal**
The **proposal** is a five-seven page document (12 point font, double-spaced), plus bibliography (one page) to be submitted at the end of the second year. Typically, the proposal should include the following elements: (1) Introduction; (2) Research preparation and contribution to the field; (3) Methodology and work plan; (4) Research aims and structure (5) Conclusion. It must be approved by the committee by the end of the second year.

**Prospectus**
The **prospectus** is a prescriptive blueprint of the research to be undertaken in the dissertation, to be submitted by early December of the third year. **It must be written in English.** No more than 10 double-spaced pages (plus exam lists and dissertation bibliography), it includes the following elements, usually in this order:

- **TITLE** — Emphasize key topics to be addressed.
- **PREMISE** — State in a paragraph the premise or hypothesis of the study.
- **SCOPE** — Explain the parameters of the study and the rationale for them (e.g., temporal, geographical, and topical).
- **JUSTIFICATION** — Argue the significance of the study in light of previous scholarship, including other dissertations.
- **THEORETICAL APPROACH/CONCEPTUAL FRAMEWORK/METHOD** — Explain and justify the proposed methods of research and analysis.
- **RESOURCES** — Describe required resources, their location and accessibility; describe any resources already examined. Include any necessary logistical considerations, e.g., “the estate of Jorge Luis Borges has given me unlimited access to family papers (letter..."
attached),” or “the Gulbenkian Foundation has promised funding to support field research in Cape Verde (letter attached).”

- CHAPTER OUTLINE — Indicate the units or steps in the argument or study, with some estimate of the scope and length for each.
- DISSERTATION BIBLIOGRAPHY — Provide a working bibliography of relevant sources already consulted and those that will need to be consulted. Do not prepare an unselective bibliography of the sort that is generated by computerized subject searches.

**The final version of the prospectus must be turned in by December 1st, and approved by the committee before the end of the Fall quarter.**

**Qualifying Exam**
The Qualifying Exam allows the student to demonstrate a specialized knowledge of the fields most relevant to support the proposed dissertation research. (The exam demonstrates that the student is “qualified” to undertake the proposed research in terms of relevant content as well as theory and methods.) The exam is administered as a four-day take-home exam (for example, questions are given Thursday 9.00am and responses are due Monday 9.00am). It comprises of three questions. The student must answer two of the three questions (one in English, one in Spanish or Portuguese), with each response being 12-15 pages in length. The qualifying exam is taken in mid-October of the third year.

The qualifying exam is based on a reading list of 75-100 items (books, articles, or cluster of articles, films etc.) composed in consultation with the committee; the reading list should be finalized by the end of the spring quarter of the second year and it should contain a statement explaining the rationale of its composition. An oral exam of no more than two-hours is conducted by the student’s exam committee within two weeks of the written exam. The subject matter of the oral exam will be the two essay responses.

In the absence of approval – i.e., if any portion of the exam is not passed – the Graduate Committee will determine whether the student may retake the exam; if a retake is approved by the Graduate Committee, the student must retake it within one quarter (i.e., by the end of the quarter following the first exam). If the student does not pass the exam for a second time, s/he will be asked to leave the program. In exceptional cases, a student who has failed the exam for a second time, may petition the DGS to pursue a terminal M.A. degree, which must be completed by the end of the quarter following that exam.

Exams are taken on a Pass/Fail basis. Both sections of the exam must be approved in order to receive a Pass. In case of a Fail in any or all of the two parts, a written commentary must be provided by the examiners to the chair of the examining committee, who will forward it with the committee’s report to the Director of Graduate Studies. In order to take the exam, students must
have completed all courses (i.e., have no incompletes) prior to the quarter in which they will take the exam.

A student may appeal a failing grade on the qualifying exam to the DGS or (if the DGS is a member of an exam committee) to the chair of the department. The DGS or Chair will then convene an ad hoc committee composed of three faculty members, who will give your exam a fresh reading and decide whether or not they concur with the initial decision. This committee’s ruling will be final.

**Dissertation**

In accordance with the regulations of The Graduate School, the dissertation is an original contribution to the study of Latin American, Iberian, and/or U.S. Latino literatures and cultures. Per Graduate School policy, for dissertations written in a language other than English, the student is required to write a 10-20 page summary of the dissertation in English which must be included as an appendix; the dissertation abstract must also be in English.

An oral defense of the dissertation is required. The defense takes place no later than three weeks after the submission of the dissertation to the Dissertation Committee. All members of the dissertation committee must be present at the defense, either in person or by electronic conferencing.

*** For requirements regarding preparation and style of the dissertation, the doctoral candidate should consult the Graduate School’s material on the preparation and submission of the dissertation www.tgs.northwestern.edu/studentsvcs/requirements/doctoral/completion. If the dissertation does not conform to these instructions, it will not be accepted by The Graduate School. Please note also that students must complete the on-line Final Exam Application, accessible through Caesar, prior to the defense.

We urge that candidates submit a copy of their dissertation for each member of the committee. The dissertation must follow the instructions outlined by The Graduate School with respect to form. The *MLA Handbook for Writers of Research Papers* is the guide in all other matters of style.

a. Dissertation Embargos Policy: **Doctoral dissertations may be embargoed for a maximum of two years upon request of the author and endorsement by the dissertation’s director. This pertains uniformly to all formats in which a dissertation is filed and/or stored.**

b. Dissertation Editing Policy: **All dissertators should consult with their advisors about appropriate forms of assistance before assistance is rendered. Dissertations should acknowledge assistance received in any of the following areas:**
1. Designing the research
2. Executing the research
3. Analyzing the data
4. Interpreting the data/research
5. Writing, proofing, or copyediting the manuscript

This would normally be indicated in the acknowledgement section of the dissertation. Failure to do so constitutes grounds for an academic integrity violation.

Events/Professional Development
Graduate students are expected to attend all department sponsored events, including seminars, colloquia and extra-curricular activities that enhance their area of expertise. Regular meetings with the GSC and/or DGS are mandatory.

Teaching
Students are expected to hold teaching assignments during the second, third and fourth years and register for SPANPORT 570 Teaching Assistantship and Methodologies.

In some cases, students may, with the permission of their advisors, substitute teaching for other forms of research assistantships or internships (see examples on page 27). Students may also petition to use one or more quarters of the fellowships year at an earlier time, depending on the student’s research needs.

By the end of year four, each student should have compiled a teaching portfolio.
## Five Year Trajectory

<table>
<thead>
<tr>
<th>Course Work and Academics</th>
<th>Teaching</th>
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</thead>
<tbody>
<tr>
<td><strong>Year One (nine courses)</strong></td>
<td>Fellowship</td>
</tr>
<tr>
<td>[Summer: language training advised]</td>
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<tr>
<td><strong>Fall:</strong></td>
<td>Fellowship</td>
</tr>
<tr>
<td>- Two SPANPORT courses</td>
<td></td>
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<tr>
<td>- One elective</td>
<td></td>
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<tr>
<td>- Second language diagnostic exam</td>
<td></td>
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<tr>
<td><strong>Winter:</strong></td>
<td>Fellowship</td>
</tr>
<tr>
<td>- Two SPANPORT courses</td>
<td></td>
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<tr>
<td>- One elective</td>
<td></td>
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<tr>
<td>- Second language training, if applicable</td>
<td></td>
</tr>
<tr>
<td><strong>Spring:</strong></td>
<td>Fellowship</td>
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<tr>
<td>- Two SPANPORT courses</td>
<td></td>
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<tr>
<td>- One elective</td>
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<td>- Second language training, if applicable</td>
<td></td>
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<tr>
<td>- English language exam, if applicable</td>
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<tr>
<td><strong>Summer</strong></td>
<td></td>
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<tr>
<td>Study/research abroad</td>
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<tr>
<td><strong>Year Two</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Fall:</strong></td>
<td>Apprentice year</td>
</tr>
<tr>
<td>- Two SPANPORT courses</td>
<td>Mini-lesson plan or Teaching assistantship</td>
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<tr>
<td>- One elective or independent study</td>
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<tr>
<td>Winter</td>
<td>Apprentice year</td>
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<td>• Two SPANPORT courses</td>
<td>Mini-lesson plan or Teaching assistantship</td>
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<td>• One elective or independent study</td>
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<td>Spring</td>
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<tr>
<td>• Two SPANPORT courses</td>
<td></td>
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<tr>
<td>• One elective or independent study</td>
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<tr>
<td>• Proposal and Ph.D. reading lists due by June 15</td>
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</table>

<table>
<thead>
<tr>
<th>Year three</th>
<th>Language courses</th>
</tr>
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<tbody>
<tr>
<td><strong>October 15th:</strong></td>
<td></td>
</tr>
<tr>
<td>4-day, 3-question written exam begins</td>
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<tr>
<td><strong>October 19th:</strong></td>
<td></td>
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<tr>
<td>Written exam must be turned in to committee</td>
<td></td>
</tr>
<tr>
<td><strong>October 30th</strong></td>
<td></td>
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<tr>
<td>One-hour oral qualifying exam with committee.</td>
<td></td>
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<tr>
<td><strong>December 1st:</strong></td>
<td></td>
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<tr>
<td>Prospectus due to committee, including rationale for reading list</td>
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<tr>
<td>Research</td>
<td></td>
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</tbody>
</table>

<table>
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<tr>
<th>Year four</th>
<th>Literature courses, normally.</th>
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<tbody>
<tr>
<td>Writing</td>
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<tr>
<th>Year five</th>
<th>Fellowship year</th>
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<tbody>
<tr>
<td>Dissertation defense</td>
<td></td>
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</tbody>
</table>
Year One:

**Language Proficiency:** students must take the Portuguese or Spanish diagnostic (or English, if applicable) exam by the end of September at the latest. In the case of Portuguese, Spanish speakers with no knowledge of Portuguese are strongly recommended to take Port 115-1 and 115-2 during the Winter and Spring quarters, unless they plan to take intensive Portuguese during the following Summer elsewhere. These classes must be taken as a fourth class. In the case students have the necessary proficiency, it is recommended that they take a 300-level literature class in the target language that has been approved for graduate credit.

All students whose primary language is not English will be required to take the Versant English Test upon arriving at Northwestern. See “Specific Requirements for Teaching Assistants.”

ESL Classes - Northwestern University offers a variety of ESL classes and workshops to assist in your mastery of the English language, including Pronunciation and Intonation (beginning and advanced), Conversation and Fluency, Culture and Fluency, American Academic Culture, Academic Writing, and Academic Presentations. Free Test of Spoken English preparation workshops are also offered on a non-credit basis. In addition to these classes, you are welcome to participate in free weekly tutoring sessions aimed at refining your speaking and writing abilities. Please visit the ESL website for more information about these and other English language services.

There are also three additional ways students may fulfill the English language requirement:

**TOEFL Speaking Section sub-score:** A score of 26 or higher (out of a possible 30) on the Speaking Section of the TOEFL internet-based test will now fulfill TGS’s English proficiency requirement. Students who submit a score in this range will not be required to take the Versant test upon arrival at Northwestern, and can consider their proficiency requirement to be met.

**Two Versant test scores of 63 or 64:** Students who score within the two-point margin of error for passing the Versant test on two separate occasions will fulfill the English proficiency requirement. The tests need not be consecutive.

**Teaching demonstration in LING 480:** During summer quarter 2016, English Language Programs will re-introduce Linguistics 480, The Language of Teaching and Teachers. This course will be co-taught with the Searle Center for Teaching Excellence, and will include a teaching demonstration as a capstone project at the course’s conclusion. This demonstration will allow students to demonstrate their English proficiency in a live, face-to-face context, as they directly address the skills they will need to be effective teachers and TA’s. Students who demonstrate the required level of English proficiency during their teaching demonstration will fulfill the English proficiency requirement.
Coursework: During the first year, students will explore and delineate the theoretical, geographical and historical foundations for their work. Independent Studies are not recommended during the first year. Students are expected to attend department events and are strongly encouraged to attend events in other departments as well. Students are also expected to become familiar with scholarly journals and other publications in the field, as well as with professional debates published in the MLA’s *Profession* and the *ADFL Newsletter*.

Students will not teach during the first year.

Summer: the first Summer of the graduate career is crucial for students’ language training and preliminary or exploratory research for the dissertation. Research and/or study abroad are highly recommended.

Advising: During their first year in the graduate program, all students are advised by the Director of Graduate Studies. The DGS guides students in selecting courses based on each student’s preparation in the field and his or her proposed areas of specialization, oversees the student's plan for meeting language requirement/s, monitors the student’s progress through the program’s milestones and advises on the choice of dissertation advisor.

Year Two:

Language Proficiency: Before the beginning of their second year, the student must demonstrate proficiency in their 2\textsuperscript{nd} language (refer to the Languages section of the handbook).

Research: During the second year students are expected to define their dissertation projects. The dissertation proposal is due at the end of the Spring quarter. The summer between the second and the third year should be devoted to the writing of the prospectus and the final preparation for the qualifying exams, to be taken by mid-October of the third year.

Teaching: Normally during each quarter of the second year students will be appointed as a TA for a graduate faculty member in the department.

During two quarters students will assist one or more professors in literature courses with grading and advising during their own office hours. They are expected to collaborate with the professor on a variety of tasks, such as setting up the classroom, equipment, materials on Blackboard, etc. Students will be expected to teach one or two classes during the quarter, and will be observed by the professor, who will give them feedback. At the end of each quarter, the professor will write a brief report assessing the TA’s experience in the classroom. Courses in literature will be assigned according to the needs of the department. In some cases, depending on availability, students may work as Research Assistants instead of as TAs.
In one of the quarters of the second year students will visit a variety of 100- and 200-level language classes once every two weeks. They will receive an observation form, which they will fill out and turn in to their academic advisor. Their observation report will not be an evaluation of the instructor, but rather a description of the teaching techniques that they have observed. They will also attend general meetings of the language program and meetings of language coordinators, where they will learn about planning syllabi and preparing exams, among other things.

At the end of the quarter, students will produce a mini-lesson plan for one of the courses they have observed, preferably anticipating the language course they will teach in the first quarter of year three. The lesson plan should cover one week of teaching and include the strategies they have observed throughout the quarter.

In addition, students will attend talks and workshops at the Searle Center, as well as Professional Development workshops offered by the Spanish and Portuguese language programs in the department. At the end of the quarter they will turn in a report to their advisor.

**Advising:** By the beginning of the second year in the program, the student will have identified a faculty member willing to serve as a dissertation advisor. This advisor will also be responsible for overseeing and reporting to the DGS about the student’s progress after the first year.

**Proposal:** See previous description.

**Year Three:**

**Research:** Completion of all requirements to advance to candidacy. Candidates seek external funding (if appropriate) to support study abroad in the fourth year; conduct research toward the dissertation; draft chapters; participate in professional activities (as appropriate).

**Prospectus:** Maximum of 10 pages, (12 point font, double-spaced), excluding bibliography.

**Teaching:** Normally, students will TA or teach their own section of an introductory, intermediate and/or advanced language course. They will work with faculty language instructors and attend meetings with the language coordinators. They will report directly to their advisor, who will observe their teaching once each year. It is highly recommended that all students complete the Searle Center Teaching Certificate.

**Year Four:**

**Research:** During this year students will focus on research and writing. If appropriate, they may also travel abroad to conduct research.

**Teaching:** During this year students will normally TA or teach their own section of SPAN 220, one survey course (250, 251, 260, 261) and/or one general (Span or Port) 200-level course. In these
courses students may include materials from their field of specialization or dissertation project. In some cases, they may also apply to teach a 300-level course.

By the end of year four, each student should have compiled a teaching portfolio.

**Year Five:**

Research: Writing and defense of the dissertation.

Fellowship year: no teaching.

*All, or part of the fifth-year fellowship may be used at an earlier time, depending on the student’s research needs.*

Graduate students who are TAs or instructors may arrange to have their teaching observed by a Searle Graduate Teaching Fellow. The goal of this service is to provide constructive feedback about TA’s teaching. All observations are strictly confidential, though participants may wish to inform their departments or potential employers of the efforts they have undertaken to improve their teaching. Visit the Searle Center [website](https://example.com) to request an observation.

**Curriculum**

**Course Identification and Numbering**

Courses are arranged in levels designated by number. The 300-level courses are primarily for advanced undergraduate and graduate students; 400-level courses or seminars are primarily for graduate students; 500-level courses are for graduate students only and are primarily research seminars or courses in which special research is conducted by an individual student under faculty direction.

With the consent of the DGS, students may enroll in a limited number of TGS-approved 300-level courses. Generally, graduate students enrolled in these courses will be expected to complete work beyond that required of undergraduates, such as additional reading, in-depth research, and/or a significant written component, as per Graduate School guidelines.

**300-level undergraduate courses**

Provided they are listed in CAESAR as Graduate School courses (TGS), 300-level courses may count towards students’ graduate coursework.

**SPANPORT 401 Introduction to Literary and Cultural Theory** Introduction to theoretical and critical traditions in Latin American and Peninsular traditions and elsewhere.
SPANPORT 410 Topics in Early Modern Literatures and Cultures
Analysis of primary works and the major critical theories and debates regarding the literary and cultural production of the Early Modern period (Golden Age Spain and/or Colonial Latin America).

SPANPORT 415 Studies in 19th Century Literatures and Cultures
Analysis of the discursive models of nineteenth and early twentieth-century Latin American and/or Iberian literary and cultural production. Topics vary. May be repeated for credit with a different topic.

SPANPORT 420 Studies in Twentieth-Century Literatures and Cultures
Analysis of movements, trends, and issues in twentieth-century Latin American, Iberian, and/or U.S. Latino literary and cultural production. Topics vary. May be repeated for credit with different topic.

SPANPORT 425 Studies in Contemporary Literatures and Cultures
Analysis of recent movements, trends, and issues in recent Latin American, Iberian, and/or U.S. Latino literary and cultural production. Topics vary. May be repeated for credit with different topic.

SPANPORT 430 Topics in Latino/a Literatures and Cultures
Analysis of major critical theories and debates about the literary and cultural production of U.S. Latino/as, including popular culture, the media, and expressive arts. Topics vary. May be repeated for credit with different topic.

SPANPORT 450 Topics in Cultural Studies
Analysis of topics dealing with cultural production in Latin America and/or Iberia; attention to cultural criticism and theory and to debates in cultural studies. Topics vary. May be repeated for credit with different topic.

SPANPORT 455 Comparative Studies in Latin American and/or Iberian Literatures and Cultures
Study of diverse works, figures, genres, and traditions from Latin America and/or Iberia that have engaged with related topics, forms, and/or currents from inside and outside these regions. Focus and materials will vary each year. May be repeated for credit with different topic.

SPANPORT 480 Topics in Latin American and/or Iberian Literatures and Cultures
Exploration of diverse periods, traditions, regions, and literary and cultural issues in Latin America and/or Iberia. Topics vary. May be repeated for credit with different topic.

SPANPORT 490 Current Readings In Iberian and Latin American Criticism and Theory
This course will involve intensive student-driven reading and discussion on current topics in Latin American and Iberian theory and criticism. It will be run as a tutorial and an environment for intellectual exchange. It is encouraged as an alternative to independent studies. Students will compose their own reading lists and prepare an annotated bibliography and give weekly presentations.
SPANPORT 495 Practicum in Scholarly Writing and Publication
Workshop intended to help students to design, research and write a scholarly article. Required for all graduate students in their second year.

SPANPORT 496 Dissertation Prospectus Writing Workshop
This course seeks to impart to students the knowledge necessary to answer the questions: what is a dissertation, and how do I write one? In the spirit of a workshop, we will work as a group to foster and cultivate the skill sets necessary to formulate and articulate an organizing question adequate to the charge of a significant, independent, multi-year research project. We will call this first stage the prospectus, and we will figure out what it is and how best to write it. We will try to distill multiple and often conflicting statements, expectations, and/or fears about what the dissertation is so we can effectively undertake its preparation and writing.

SPANPORT 499 Independent Study
First-year graduate students are discouraged from enrolling in 499. Generally, students in the program take such courses in their second year. You must file a petition for Independent Study. You can download the form and instructions here Independent Study or speak to the graduate program administrator.

TGS 500 Advanced Doctoral Study
Available to doctoral students who have completed the residency requirement of eight quarters of full-tuition registration within their program and are receiving aid from the University. Also appropriate for students receiving financial aid or on F-1 and J-1 visas. Provides full-time status, but is not a required registration.

TGS 509 International Student Institute
Required for all international PhD students designated as International Summer Institute Fellows. Intensive instruction in English; immersion into American social and academic culture and life.

TGS 512 Continuous Registration
Required for all students who are continuing in their degree programs and are not registered in program coursework or any other TGS course (500, 588,). This includes students away from campus or on leave for any reason (medical, family, research, etc.) and students who are unfunded (without any stipend or tuition scholarship).

TGS 513 Advanced Continuous Registration
Doctoral students are expected to complete the degree before the end of the ninth year from the date of matriculation (and must be continuously registered from the time of matriculation to the time of completion). Students who have not finished by that time may continue to work towards
completion but will be required to register in each of Fall, Winter, and Spring Quarter for TGS 513 and pay the corresponding tuition.

**SPANPORT 570 Teaching Assistantship and Methodologies**
Tutorial, taken on an ungraded basis, arranged between individual students and faculty, which include attendance at advanced undergraduate course lectures and service in teaching assistantships.

**SPANPORT 590 Research**
Independent reading and research

**English as a Second Language**

The English Language Learners [Program at Northwestern University](#) provides opportunities for international graduate students to improve their language proficiency for graduate study and professional development. Our instructors and tutors are Ph.D. students and lecturers in the Department of Linguistics with extensive training in the sounds, structure, and use of English. We offer courses, individual tutoring sessions, NativeAccent speech training software, a cultural orientation program for new graduate students, and preparation workshops for SPEAK exams. Northwestern University visiting scholars, research associates, faculty, and staff are also eligible to use our services when space is available. All of our services are offered on the Evanston campus and are free of charge.

**OTHER ENGLISH LANGUAGE PROGRAMS AT NORTHWESTERN**
The [International Summer Institute](#) provides intensive language instruction and acculturation to international students admitted to a Ph.D. program at Northwestern in the summer before they begin coursework. Students are nominated by their admitting departments; this program is not open to the public. The program includes coursework, tutoring, an extensive calendar of social events, and special sessions devoted to integration into the academic community, including a one-day research conference.

[The Center for Audiology, Speech, Language, and Learning](#) in the School of Communication offers accent modification classes and one-on-one tutoring to members of the Northwestern community and the general public. Instructors are students and faculty in the Master in Speech Pathology program.

The Linguistics 380 series of classes addresses all aspects of oral language skills, from informal conversational English to formal academic English. Section 21, Conversation and Fluency; Section
20, Culture and Fluency, During the Spring Quarter, one section of Linguistics 380 is offered: Academic Presentations

**Linguistics 381- Written English for Nonnative Speakers**

This course focuses on written argumentation skills needed by graduate students for writing class assignments, journal articles, theses, and dissertations, as well as all other aspects of academic writing. The class is tailored to the particular skills and needs of the students enrolled in the course. Work on assignments for other classes, research papers, and thesis chapters are incorporated into the class plan. This course also makes extensive use of the Course Management System (CMS/Canvas) for assignments and quizzes.

The text for the class is Academic Writing for Graduate Students: A Course for Nonnative Speakers of English, 2nd edition, by John M. Swales and Christine B. Feak (University of Michigan Press). Students will also need a writing handbook suitable for their field of study. The text will be available at the Norris Bookstore.

The class meets once a week in a three-hour seminar format. Students are expected to complete short assignments, to keep a written journal, to work on a longer piece of writing, and to participate in class discussions. Class size is limited to 15 students in order to allow for maximum individual attention. P/N (Pass/No Credit) registration is strongly encouraged for this course.

**Linguistics 480 - American Academic Culture**

This is a new *English as a Second Language* writing course in which students explore the structure of the English language through observation and analysis of the written language of teaching and teachers. Students will investigate the ways in which teachers and students communicate through writing, including syllabi, the Course Management System (BlackBoard), PowerPoint presentations, classroom handouts, e-mail, and so on. Students will practice the use of these forms in preparation for becoming teachers themselves, developing their skills with the use of instructor and peer feedback.

The course will be co-taught by Dr. Erin Leddon, a lecturer in the Department of Linguistics, and Dr. Robert Gundlach, the Director of the Writing Program. The course is a requirement of the CLINIC program and priority registration is given to CLINIC students, but other students and Northwestern affiliates may also enroll if space is available.

PLEASE CONSULT [THE LINGUISTICS DEPARTMENT](#) FOR UPDATED INFORMATION
Advising

Advisement during the first year: Director of Graduate Studies

Students are advised by the Director of Graduate Studies during their first year in the graduate program. The DGS guides the student in selecting courses based on the students’ preparation in the field and his or her proposed areas of specialization, oversees the student’s plan for meeting language requirement/s, minds the student’s progress through the program’s milestones as established in section IV above, and advises on the choice of dissertation advisor.

Advisement after the first year and on the Qualifying Exam

By the end of the first year in the program, the student will have identified a faculty member willing to serve as a dissertation advisor. In consultation with the DGS, the advisor will be responsible for overseeing the student’s progress after the first year. When a student identifies his/her advisor, s/he must inform both the DGS and the office staff. Any change in advisor must be undertaken in consultation with the DGS. If the student does not select a dissertation advisor by the end of the first year, the DGS will assign one by the end of the first quarter of the second year.

The Qualifying Exam committee comprises 3 (or in some cases 4) members of the core or affiliated graduate faculty. Students must have formed an exam committee by the beginning of the spring quarter of the second year. At least 2 members of the committee must be graduate faculty from the Department, one of whom serves as committee chair; the third member may be a member of the graduate faculty from another Northwestern department or program. By the end of the spring quarter of the second year, and in consultation with all members of the committee, the student will compose a qualifying exam reading list of 75-100 items. Normally, the exam committee continues as the dissertation committee; however, in some cases substitutions may be made.

Advisement beyond the Qualifying Exam: Dissertation Committee

The Dissertation Committee will guide the student in the research for and writing of the dissertation and then conduct the oral defense. The Dissertation Committee comprises at least 3 members: a Director (committee chair), a second member/reader, and a third member/reader. The Dissertation Director and the second member/reader must be members of the graduate faculty in the Department. The third member/reader may be a member of the graduate faculty in another Northwestern unit or at an institution outside Northwestern, either in the U.S. or abroad.
Terminal M.A.

In some cases, students may be advised by the end of winter quarter of their second year that they should complete a terminal M.A. rather than continue on to the Ph.D. degree. Requirements for the M.A. must be completed by the end of the second year. They are:

- Language proficiency in Portuguese and Spanish
- Minimum of 12 credits including at least 3 courses at the 400 level or above
- A 20-30 page paper to be evaluated by a committee composed of at least two members of the graduate faculty

Funding ends immediately upon recommendation for the terminal M.A. The master’s thesis must be completed by the end of the spring quarter with a minimum 3.0 GPA.

Academic Progress

Annual Progress Report

All students in the graduate program will receive an annual progress report at the end of the spring term. In preparation for the annual progress report, students must submit end-of-the-year materials. These consist of a list of milestones completed for the year, participation in conferences or other extra-curricular activities, external grants applied for and/or received, and an explanation of goals and progress. The reports should be submitted to the DGS no later than the last day of classes of spring quarter. These are reviewed by the graduate committee in conjunction with the student’s transcript and written or oral evaluations from faculty. The graduate committee will generate a report summarizing the student’s progress.

Students will receive this report regardless of their matriculation in the program (e.g., students who are post-candidacy). The report will include a review of the student’s progress toward the completion of the degree, including GPA, completion of milestones (e.g., language proficiency, submission of prospectus, selection of dissertation advisor and qualifying exam committee, satisfactory completion of qualifying exams, etc.), recognition of external fellowships and grants received, and participation in conferences. If progress has not been satisfactory, students will be made aware of the problems and provided with a) an opportunity to respond and, b) if appropriate, an opportunity to remediate, with explicit instructions as to how to do so.

Grade Policy and Satisfactory Progress

All students in the graduate program must maintain a cumulative GPA of 3.7 to remain in good academic standing in the program. Students who fall below this GPA requirement in any academic
year may be placed on probation. The student will have one quarter following the academic year in which their GPA fell below the standard to bring it up to the required average. Students who fail to bring the GPA up to standard may be considered for dismissal from the program.

**Departmental Grounds for Academic Probation**

Students who fail to meet the minimum requirements may be placed on academic probation. Grounds for probation include, but are not limited to:

- Unsatisfactory completion of milestones
- Insufficient time spent as TA
- Falling below the required GPA
- Receiving an incomplete in more than one course in an academic quarter
- Failure to make satisfactory progress toward completion of the dissertation

**Appeal Procedures**

Faculty makes every effort to ensure that each student’s progress is carefully reviewed and the resulting feedback is accurate and constructive. There may be, however, instances in which a student feels that a review decision is unjust or is based on incomplete or inaccurate information. If this occurs, there are appeal channels available to the student.

First, the student should discuss his/her concerns with the dissertation advisor in order to resolve any misconception or misperception. If the advisor and the student are not able to resolve the concern, an appeal may be directed to the Director of Graduate Studies and the Chairperson. The University policy for academic-related grievances is provided in the Graduate School Catalog.

(See [TGS appeal policies and procedures](http://www.tgs.northwestern.edu/about/policies/index.html))

**TGS' Criteria for Satisfactory Academic Progress**

Information on Satisfactory Academic Progress can be found on TGS website here:

http://www.tgs.northwestern.edu/about/policies/index.html

TGS sets the minimum standard for satisfactory academic progress. Programs may have additional criteria beyond TGS’s for determining a student’s academic standing. There are three sets of criteria that The Graduate School takes into account in determining whether or not students are making satisfactory academic progress:
• **Program length.** Doctoral students must complete all requirements for the Ph.D. within nine years of initial registration in TGS. Master’s students must complete all requirements for the master’s degree within five years of initial registration in TGS. Students who do not complete degree requirements by the established deadlines will not be considered in good academic standing, will not be eligible for financial aid and will be subject to TGS 513 (advanced continuous registration). Students may submit a petition to extend the degree deadline, but those students will not be eligible for financial aid and will be subject to TGS 513.

• **Grades and cumulative GPA.** A student whose overall grade average is below B (3.0 GPA) or who has more than three incomplete (Y or X) grades is not making satisfactory academic progress and will be placed on probation by TGS. Individual programs may have stricter criteria.

• **Internal milestone deadlines.** Doctoral students who have not been admitted to candidacy by the end of their third year (i.e., passed the qualifying exam), or who have not completed the dissertation prospectus by the end of the fourth year are not making satisfactory academic progress and will be placed on academic probation by TGS. Programs may have additional criteria beyond TGS's for determining a student’s academic standing.

*Failure to make satisfactory academic progress, as determined by the program, may be a result of (but is not limited to): unsatisfactory performance in classes, unsatisfactory performance on qualifying exams, unsatisfactory research progress, or failure to meet other program requirements (such as language proficiency or publication requirement).*

*Each student’s academic progress must be reported annually by the student’s program to the student and to TGS.*

*Failure to make satisfactory academic progress as determined by either The Graduate School or the program will result in probation or exclusion (dismissal).*

**Petitioning For Extension**

Students who have exceeded their time to degree deadline or a milestone deadline for the qualifying exam or prospectus may petition TGS for an extension. The petition for an extension must contain the following information:

- The specific length of the extension: Please list the exact date by which the requirement will be met. The extension time frame should be realistic.
- A detailed rationale for the extension
• A detailed timeline for meeting the new deadline: What work remains to be completed and what is the specific timeline, with proposed deadlines, by which that work will be completed within the extension period?
• A detailed letter of support for the extension from the Director of Graduate Study
• A detailed letter of support for the extension from the student’s academic/research advisor

**Probation**
A student who is not making satisfactory academic progress due to one of the reasons outlined above will be placed on academic probation by The Graduate School and/or the program.

When a decision to place a student on probation is made by The Graduate School, the student will be notified in writing, along with the program’s Director of Graduate Study, and will be given at most two quarters (not including summer quarter) to resume satisfactory academic standing. The Graduate School notifies students of probation status on a quarterly basis.

During the probationary period, students will remain eligible to receive federal and institutional assistance (except when they have exceeded their degree deadline). At the end of the probationary period, progress will be reviewed. If a student cannot re-establish satisfactory academic standing during the two probationary quarters, the student will become ineligible to receive financial aid and will be excluded (dismissed) from TGS.

When a decision to place a student on probation is made by the program, the student and The Graduate School must be notified in writing.

**Exclusion (Dismissal)**
The University defines exclusion in the [Student Handbook](#).
A student who fails to resume satisfactory academic standing after at most two quarters (excluding summers) after being notified of placement on probation by The Graduate School will be excluded from The Graduate School.
Under certain circumstances, a student can be excluded by a program without first being placed on probation. This may occur only if:
• the criteria for exclusion have been stated clearly by the program and have been disseminated to the students effectively.
• both the Director of Graduate Study and either the Chair of the graduate program’s student advisory committee or the Chair of the student’s department approve the exclusion.

Funding will cease on the effective date of the exclusion unless other arrangements are made.
Notification of Exclusion (Dismissal)
When TGS determines that a student is to be excluded, both the program and the student will be informed in writing (e-mail communication is considered to be “in writing”) within five business days of the determination.

Similarly, when a decision to exclude a student is made by the program, both the student and TGS must be informed in writing within five business days of the decision. The exclusion (dismissal) notification must include the effective date of the exclusion and a clear statement of the reason(s) for exclusion.

Dismissal from the Program
Once a student has been placed on probation, failure to resume satisfactory academic standing within the specified period will result in the Department notifying the Graduate School that the student is to be excluded from the doctoral program.

Appeal Process
The appeal process for students that have been dismissed or excluded for academic reasons will follow appeal procedures consistent with those set for academic dishonesty cases. Specifically, all appeals must first come from the student to the Dean of TGS. Appeals must be made in writing to the Dean within ten days of the dismissal or exclusion date. Any supporting materials must be provided with the written appeal.

Graduate Student Support
Students will receive guaranteed Graduate School support for 5 years in the form of two years of fellowship and three years of teaching assistantships during years 1 through 5; students will have additional fellowship support for four summers.

Students may apply for funding from external foundations and organizations during years 3, 4 and 5. After year 5, students are expected to compete for external funding (e.g., Fulbright IIE, Fulbright-Hays, Social Science Research Council International Dissertation Field Research Fellowship, Charlotte W. Newcombe Doctoral Dissertation Fellowships, Gladys Krieble Delmas Foundation Humanities Program, Josephine De Karman Fellowship, AAUW American Fellowships, etc.).
Graduate Assistantships/Research Assistantships

GRADUATE ASSISTANTSHIPS
Nearly every doctoral student at Northwestern University will serve as a graduate assistant during his or her academic career. A graduate assistantship provides a monthly stipend and is generally accompanied by a merit-based tuition scholarship. Graduate assistants may either serve as teaching assistants or research assistants. Teaching assistants may serve as graders for a course, lead discussion sections as part of a larger lecture course, or instruct their own class sections. Research assistants or trainees may work in a lab or conduct other types of investigative research for their primary investigator. Academic programs recommend all assistantship appointments to The Graduate School.

Every graduate assistant in The Graduate School must meet the eligibility requirements set for all students receiving financial aid. In addition, students receiving an assistantship must inform The Graduate School of other sources of support (such as traineeships, teaching assistantships, research assistantships, School of Continuing Studies teaching, or other fellowships). In cases where alternative funding is available, arrangements will be made to integrate this funding with The Graduate School award. Furthermore, assistants must refrain from remunerative work (other than teaching or research directly related to their assistantships) unless a written request for a waiver is approved by The Graduate School after a thorough review of the circumstances. If any of these conditions is violated, financial support may be withdrawn by The Graduate School.

Specific Requirements for Teaching Assistants
Each applicant for a teaching assistantship who is a U.S. citizen or permanent resident is required to submit a completed Free Application for Federal Student Aid (FAFSA) form annually. For information on obtaining and completing this form, students may consult the Federal Student Aid Web site.

All international applicants and all international students in residence that wish to be considered for teaching assistantships and whose primary language is not English are required to submit the results of the Test of Spoken English (TSE) in addition to the Test of English as a Foreign Language (TOEFL) or the International English Language Testing System (IELTS) exam. A minimum score of 50 is required on the TSE. The TSE and the TOEFL are administered by the Educational Testing Service (ETS). To ensure that the results of these tests will be available for timely appointment as a teaching assistant, the applicant should take these tests at least three or four months before the
quarter of appointment. For test times and locations, please see the following Web sites: ETS, IELTS.
Additionally, all international PhD and MFA must register to take the Versant English test, unless their countries of origin are Australia, Canada, New Zealand, or the United Kingdom. Versant test results will be released to students, their departments, and The Graduate School. A score of 65 or higher (out of a total of 80) meets The Graduate School's proficiency requirement for receiving funding as a Teaching Assistant.

**Interdisciplinary Graduate Assistantships and Service Opportunities**
The following assistantships provide the opportunity to extend funding beyond the initial 19 quarters for students who wish to explore special interests in another area. Please visit these programs to learn more.

- **Asian American Studies Program**
  Contact [Shalini Shankar](mailto:shalini.shankar@northwestern.edu), Associate Professor of Anthropology, Judd A. and Marjorie Weinberg College of Arts and Sciences

- **Block Museum**
  Contact [Corinne Granof](mailto:corinne.granof@northwestern.edu), Curator of Academic Programs, Block Museum

- **Center for Civic Engagement**
  Contact [Dan A. Lewis](mailto:dan.lewis@northwestern.edu), Director, Center for Civic Engagement, Professor of Human Development & Social Policy, School of Education & Social Policy

- **Chicago Field Studies**
  Contact [James Farr](mailto:james.farr@northwestern.edu), Professor, Political Science, Judd A. and Marjorie Weinberg College of Arts and Sciences

- **English as a Second Language**
  Contact [Julia Moore](mailto:Julia.Moore@northwestern.edu), Director of English Language Programs, Graduate School Administration

- **Gender & Sexuality Studies**
  Contact [Mary Weismantel](mailto:mary.weismantel@northwestern.edu), Professor, Anthropology, Judd A. and Marjorie Weinberg College of Arts and Sciences

- **Holocaust Educational Foundation**
  Contact [Ben Frommer](mailto:Ben.Frommer@northwestern.edu), Associate Professor, History, Judd A. and Marjorie Weinberg College of Arts and Sciences

- **International Studies**
  Contact [Galya Ruffer](mailto:Galya.Ruffer@northwestern.edu), Program Director (Academic), International Studies, Judd A. and Marjorie Weinberg College of Arts and Sciences

- **Latina and Latino Studies**
  Contact [Frances Aparicio](mailto:frances.aparicio@northwestern.edu), Professor, Spanish and Portuguese, Judd A. and Marjorie Weinberg College of Arts and Sciences
Presidential Fellowships
The Presidential Fellowship is the most prestigious fellowship awarded by Northwestern. Fellowships are awarded for two years, and recipients become part of Northwestern University’s Society of Fellows. The fellowship will be awarded to candidates who combine outstanding intellectual or creative ability with the capacity to play an active part in the life of the Society of Fellows. The Society of Fellows, which meets twice per quarter, enables stellar students from across the university to have interdisciplinary interactions with their peers in other fields. The goal is to create future leaders who are enthusiastic and literate about the broad scholarship of the university. Applications are by nomination only.

Graduate Research Grant (GRG)
The Graduate Research Grant (GRG) is intended to help PhD and MFA students in historically underfunded disciplines to meet expenses related to scholarly research and creative endeavors. PhD students in the social sciences and humanities (including students in WCAS and the schools of Communication, Education and Social Policy, and Music) as well as MFA students are eligible for the GRG. The maximum award amount for a student over the course of his or her graduate career at Northwestern is $3,000.

University Fellowships
University fellowships provide tuition and a stipend, usually paid in monthly installments. Fellowships are awarded for a period of one academic year. Recipients are eligible to apply for renewal with their departments.
External Funding
Graduate students are strongly encouraged to seek external funding. As markers of scholarly achievement, fellowships are integral to successful, timely completion of graduate degrees. Students are encouraged to contact the Office of Fellowships, which has staff on hand to assist students in their endeavor to secure outside funds.

The Office of Fellowships maintains a Graduate Fellowships Website to serve graduate fellowship seekers and applicants. This site identifies external fellowships that students in each Northwestern graduate department have won in the past—those you may be best positioned to win. There are also listings for diversity-based fellowships, awards available to international students, and links to selected national fellowships databases. The Graduate Fellowships Calendar provides month-by-month deadlines for external award competitions.

The Office of Fellowships also publishes an e-newsletter for seekers of external fellowships (twice per quarter and once in the summer). The Graduate Fellowships Newsletter provides profiles of N.U. students who succeeded in previous award competitions, tips for writing outstanding grant applications, and descriptions of select fellowship opportunities. Archived editions of the GFN are also available on the website.

Please direct questions about external fellowships or the above resources to Stephen Hill at the Office of Fellowships (s-hill@northwestern.edu).

SUMMER TRAVEL AND PROFESSIONAL DEVELOPMENT GRANTS:

SPANPORT Graduate Student Professional Travel and Development Grant
Limited funding is available to help 2nd - 5th year graduate students defray the costs of travel to conferences at which they are presenting a paper. Requests for funding are considered on a first-come, first-served basis, so students expecting to present at a conference should send a formal letter of request (email is acceptable) for funding to the department chair as early in the year as possible. The request should include the title of the conference, confirmation of acceptance of paper, and estimated cost of lodging and airfare. Funding amounts will be based on whether the travel is domestic or international. Typically, students will only receive funding to attend one conference. However, if extra funds are available at the end of the year, students may request additional funding.

Up to $400.00 may be allocated per grant, with no more than 2 grants awarded throughout the student’s graduate career. Funds may be used to cover transportation/travel, registration fee, hotel, and meals.
CLS students whose home department is Spanish and Portuguese may apply to travel funds as long as:

(1) They have already used their funding from CLS and TGS
(2) The total amount granted by both departments does not exceed what is available to Spanish and Portuguese students. For example, if CLS grants up to US$400 in travel funds during their career, students can apply up to another US$400.00 from Spanish and Portuguese.

**TGS Graduate Student Professional Travel and Development Grant**

**Conference Travel Grant**
The Conference Travel Grant (CTG) provides funds to assist PhD and MFA students traveling to conferences and/or seminars to make presentations on behalf of the University. The grant is not intended to support attending courses at other schools, research or general educational travel. Students are eligible for a maximum of two grants up to $600/$800 (depending on discipline, see below) over the entire course of their graduate career.

**LACS - Latin American and Caribbean Studies Program**

Summer Research Graduate Grant

For information, please contact the program directly: [http://www.lacs.northwestern.edu/about/](http://www.lacs.northwestern.edu/about/)

**Professional Development Grants**
The Graduate School Professional Development Grant is intended to support meaningful graduate student professional development programming at the department and program level. The Graduate School currently offers professional development programming on a broad range of topics. While this broadly applicable professional development programming for graduate students across disciplines will continue to be offered, The Graduate School recognizes that there is a need for discipline-specific professional development programming and that the professional development needs of graduate students across disciplines may vary.

For this reason, The Graduate School established the Professional Development Grant to fund departmental, program, and cluster efforts to offer graduate student professional development programming. This includes any activity that provides professional development relevant to the preparation of graduate students for their future career endeavors, whether inside or outside of academia.

[http://www.tgs.northwestern.edu/professional-development/index.html](http://www.tgs.northwestern.edu/professional-development/index.html)
Please see the grant guidelines for additional information and instructions for submitting proposals. This includes any activity that provides professional and life skill development relevant to the preparation of graduate students for their future career endeavors, whether inside or outside of academia. Additional information about these grants may be found on TGS website:


http://www.tgs.northwestern.edu/funding/assistantships.cross-discipline.html

**Career Development Grant Program for Humanists and Social Scientists**

The Career Development Grant Program for Humanists and Social Scientists is intended to facilitate students’ next professional steps after graduate school whether they are on the conventional academic path or not. The awards can be used to cover costs directly related to a job search — such as travel to conferences or other venues to interview — or for items such as production costs for job market materials.

Students can be granted up to $400 over the course of their graduate career (either in one $400 award or multiple awards that total $400).

**Summer Language Grant (SLG)**

The Summer Language Grant (SLG) is intended to assist PhD students with expenses related to language acquisition during the summer. The grant is intended for students who must travel outside the Chicago metropolitan area to obtain language training necessary for their research and/or to meet degree requirements. First-, second-, and third-year PhD students in the social sciences and humanities (including students in WCAS and the schools of Communication, Education and Social Policy, and Music) are eligible. Students may apply for an SLG to pursue immersion language training either at a domestic university or via a study abroad program.

**Interdisciplinary Conference Travel Grants for Mellon Affiliated Students**

Students actively affiliated with the Mellon clusters in the Humanities and Non-Quantitative Social Sciences are eligible for travel support to attend conferences and/or seminars and make presentations on behalf of the University. This is intended to support the presentation of research at conferences not specifically in the student’s home discipline but rather allied to the interdisciplinary range of a Mellon cluster.

The maximum award is $1000
Buffett Institute Graduate Student Grants

Graduate students must be Affiliates of the Buffett Institute. Regular participants in the Institute’s Graduate Student Colloquium series with strong proposals will receive preference in both grant competitions.

Graduate Student Conference Travel Award

Conference Travel Awards fund Northwestern PhD students working on international and comparative topics relevant to important contemporary political, economic, and/or social issues. The maximum award is $400, and students are limited to one conference travel award per academic year.

Applications are reviewed on a rolling basis. Funds are limited, so early application is strongly encouraged. Applicants are typically notified within two weeks of submission.

Graduate Student Dissertation Research Travel Awards

Graduate Student Dissertation Research Awards provide funding for fieldwork outside the United States for Northwestern doctoral students writing dissertations on comparative and international topics relevant to important contemporary political, economic, and social issues. The maximum award is $5000.

All Northwestern University PhD students at any stage in their dissertation research are eligible to apply. Applications from students conducting exploratory thesis research are particularly encouraged. These awards may not be used for language study programs, expenses in the United States, special equipment such as tape recorders, or fees for transcription or translation. Awards are normally granted for work during the summer, but exceptions can be made if warranted.

All applicants are expected to concurrently seek research support from other sources. Applicants who have previously received a Graduate Student Dissertation Research Award must also demonstrate that they have applied for funding from a source outside of Northwestern.

Applicants’ proposals must contain a concise description of the research and an itemized budget (see online application form for all requirements).

Graduate students must submit a letter of recommendation from a faculty member (sent to Buffett Institute, 1902 Sheridan Road or emailed by the recommender to Jeff Cernucan at j-cernucan@northwestern.edu). Additionally, graduate students must be Affiliates of the Buffett Institute.
**Award information:** The number and distribution of funded projects will be determined by the number of proposals received and the funding requested by applicants. All awards will be made as scholarship awards and will be paid out through the University's payroll system.

**Selection process and notification:** Awards will be decided by a committee organized by the Buffett Institute. Award notification is expected 4-6 weeks after each deadline.

**Deadlines:** February 15 (primary deadline) and October 14 (a limited number of awards will be reserved for this deadline).

**Questions** should be directed to Brian Hanson (bhanson@northwestern.edu). Online application form.

**Digital Humanities Research Grant**
The Digital Humanities Research Grant is intended to enable PhD students in the humanities to access specialized training. Awards up to $2,500 will be granted to assist PhD students requiring training in digital technologies (e.g. big data, digital collation and analysis, digital object management and analysis, digital encoding, etc.) directly in support of dissertation research.

**Digital Humanities Travel Quarters**
Eligible PhD students may apply for up to four quarters of Graduate Assistantship to provide support while absent from the Chicago area in order to pursue unique training opportunities related to the digital humanities. The purpose is to encourage students to undertake one or more quarters of residency where they can:

**Mellon Cluster Programming Catalyst Grant**
The Mellon Cluster Programming Catalyst provides Mellon clusters in the Humanities and Non-Quantitative Social Sciences with resources to support innovative programming that benefits graduate students and furthers the goals of the clusters.

**Eligibility:**
Students and/or faculty can apply, with the endorsement of a cluster Director. Applications involving combinations of clusters, centers, degree programs, departments, etc. are encouraged. There is no maximum amount per project or event proposal. Proposed events may be scheduled for FY15 or FY16. $100,000 has been designated for FY15.

**Catalyst Grant**
The Graduate School’s (TGS) Catalyst Grant provides students, faculty and academic programs with resources to support new programs and events that benefit graduate students. The maximum amount of funding available is $3,000 per project/event. Ongoing, long running, or continuous events are not eligible for Catalyst Grant funding.
**Boren Fellowships**

Boren Fellowships provide up to $30,000 to U.S. graduate students to add an important international and language component to their graduate education through specialization in area study, language study, or increased language proficiency. Boren Fellowships support study and research in areas of the world that are critical to U.S. interests, including Africa, Asia, Central & Eastern Europe, Eurasia, Latin America, and the Middle East. The countries of Western Europe, Canada, Australia, and New Zealand are excluded. For a complete list of countries, [click here](#).

Boren Fellows represent a variety of academic and professional disciplines, but all are interested in studying less commonly taught languages, including but not limited to Arabic, Chinese, Korean, Portuguese, Russian and Swahili. For a complete list of languages, [click here](#).

Boren Fellowships are funded by the National Security Education Program (NSEP), which focuses on geographic areas, languages, and fields of study deemed critical to U.S. national security. Applicants should identify how their projects, as well as their future academic and career goals, will contribute to U.S. national security, broadly defined. NSEP draws on a broad definition of national security, recognizing that the scope of national security has expanded to include not only the traditional concerns of protecting and promoting American well-being, but also the challenges of global society, including sustainable development, environmental degradation, global disease and hunger, population growth and migration, and economic competitiveness.

To view the Program Basics of the Boren Fellowships, [click here](#).

**Funding Opportunities for Underrepresented Minority Students**

There are a number of external fellowship opportunities specifically offered to underrepresented minority students. In addition to these opportunities, applications are also available in The Graduate School for the Ford Foundation Doctoral Fellowships for Minorities, the National Science Foundation Minority Graduate Fellowships, and the National Hispanic Scholarship Fund. For further information on any of these programs and for application forms, contact Mario Craigen, Coordinator of Multicultural Affairs.

**Diversifying Higher Education Faculty in Illinois (DFI)**

Applicants must be residents of the State of Illinois and intend to seek faculty positions in the State of Illinois upon completion of their degrees. To be classified as an Illinois resident, an applicant must have received a high school diploma or post-secondary degree from an educational institution in Illinois or be a registered voter in Illinois with three or more years of residency in Illinois.
New and continuing eligible underrepresented minority students in master’s or doctoral degree programs in any department within The Graduate School may apply for DFI awards. DFI awards are need based and renewable for up to three years. The Graduate School provides tuition scholarships for full-time students who have received DFI awards.

DFI applications are submitted to The Graduate School by mid-February. For further information, contact DFI@ibhe.org for more information.

**Graduate Student Representative**
The graduate student body will elect a Graduate Student Representative to the Graduate Committee for a term of one year. The representative will present graduate students’ views before the faculty on relevant matters and will generally serve as a liaison between faculty and students. In a non-voting capacity, the Graduate Student Representative may attend Graduate Committee meetings, excluding those that deal with admissions, review or retention matters.

Verónica Dávila has been appointed as the Graduate Student Representative for 2015-2016.

**Dealing with Conflict**
The Graduate School realizes that conflicts emerge occasionally, and has devised the following guidelines for students for the chain of communication when dealing with different types of conflicts (please note, a separate page for the chain of communication for Postdoctoral Fellows is currently in development).

**Conflicts not involving discrimination, harassment, or sexual harassment**
When a conflict arises, whether with a student’s advisor, a fellow student, or someone else in the University, TGS recommends that students first talk to their DGS (Director of Graduate Studies). One function of the DGS is to address student concerns and grievances and to be available when students are experiencing academic difficulty. If the DGS has a conflict of interest, the Chair of the student’s department is the next resource. In the case that a student cannot or does not want to speak with anyone in the program or department, the next resource is The Graduate School or the relevant academic school. In TGS, the Associate Dean who deals with student issues is William Karpus, and most of the academic schools also have an Academic Dean or a proxy dealing with graduate education. In the Weinberg College of Arts and Sciences, this is Tracy Davis.
The DGS, department chair, TGS staff, and Deans can treat students’ concerns confidentially unless the concerns involve sexual harassment, discrimination, or a safety issue, in which case they are obligated to report the issue to the appropriate University office.

**Discrimination and Harassment**

Harassment, whether verbal, physical, or visual, that is based on race, color, religion, national origin, sex, sexual orientation, gender identity, gender expression, parental status, marital status, age, disability, citizenship, or veteran status is a form of discrimination. Discrimination and harassment complaints should be referred to the Office of Equal Opportunity and Access. For additional information, please see the University’s Nondiscrimination policy.

**Sexual Harassment**

It is the policy of Northwestern University that no member of the Northwestern community - students, faculty, administrators, staff, vendors, contractors, or third parties - may sexually harass any other member of the community. For information or assistance regarding a sexual harassment complaint, please see the University’s Sexual Harassment Policy.

**Registration**

As a Northwestern University student you will use CAESAR to register for classes, change your address, view student account and financial information, retrieve your unofficial transcript, and perform other self-service functions.

**CAESAR Instructions/Help**

These links provide all the information you will need to use CAESAR, including registering for classes, managing your class schedule, resolving your emergency information hold, etc.:

- [http://ses.northwestern.edu/student_help.htm](http://ses.northwestern.edu/student_help.htm)
- [http://www.registrar.northwestern.edu/registration](http://www.registrar.northwestern.edu/registration)
- [http://www.northwestern.edu/caesar](http://www.northwestern.edu/caesar)
- [http://ses.northwestern.edu/contactinfo.htm#hold](http://ses.northwestern.edu/contactinfo.htm#hold)

**CAESAR Access or Login Issues**

If you have a problem logging into or accessing CAESAR, you should contact the NUIT Support Center. Support Center hours of operation can be found at [http://www.it.northwestern.edu/supportcenter](http://www.it.northwestern.edu/supportcenter). Support can be requested by these methods:

- Phone: 847-491-4357 (1-HELP)
- E-mail: consultant@northwestern.edu
- Chat online: [http://www.it.northwestern.edu/supportcenter/livechat.html](http://www.it.northwestern.edu/supportcenter/livechat.html)
Registration or Course-Related Issues

If you have questions regarding registration issues, you should contact the Office of the Registrar during their office hours: Monday through Friday 8:30 a.m. – 5:00 p.m. Staff members are available to assist you via these methods:

- Phone: 847-491-5234
- E-mail: nu-registrar@northwestern.edu
- Walk-in: 633 Clark Street

Alternatively, you may wish to contact your peer advisor, meet with your school advisor, contact your school or college, attend an orientation session, or go to one of the designated training labs during your registration appointment time.

Registration Requirements

Registration is required of all graduate students, from the quarter of entry until the quarter of completion of the degree, including summers. The specifics of registration are as follows:

Students register for a minimum of 3 and a maximum of 4 courses every quarter.

In years 2 and above, when students typically TA and hence do not take a full load of courses, they register for one unit of SPANPORT 570 each quarter they teach.

After students have completed their required course work (typically in years 3 and above) they register every quarter for TGS 500 (if funded by the university) or TGS 512 (if not funded by the university), as well as one unit of SPANPORT 490 for each course they teach.

In order to receive summer support, students need to register for Summer quarter (3 units of SPANPORT 590 in year 1-2 or TGS 500 in years 3-4). Students who are no longer receiving summer support need not register for summer.

Current students unable to register online may do so by e-mailing Jacob Plevin, the Graduate Program Assistant.

Doctoral students must register for eight quarters of full-tuition registration within their programs. Students who complete the requirements for the PhD in fewer than eight quarters, after all residency and course requirements are met, need only register for TGS 500 in those quarters between the completion of both residency and candidacy and the submission of the dissertation or if they are receiving aid from the University.
Summer Session Course Load
Full-time registration in the Summer Session is defined as follows:

- three eight-week courses
- two eight-week courses plus one three-, four-, or six-week course
- one eight-week course plus two six-week courses
- 590 Research within student’s program for three or four units
- TGS 588 Resident Master's Study, TGS 500 Advanced Doctoral Study, or TGS 512 Continuous Registration

(See the Curriculum section of this document)

The Graduate School considers any other registration to be part time. No student may register for more than three units in any one eight-week Summer Session except for 590 Research. Students who complete course work for a master's degree exclusively during the summer are required to spend a minimum of twenty-four weeks registered in The Graduate School.

To register for the Summer Session, a student must have been admitted for that quarter through the regular admission procedure. See the Academic Calendar on this Web site for Graduate School deadlines. Individual schools may require additional materials and may have earlier deadlines.

Full-Time Status after Completing Course Requirements
Eligible students may maintain full-time status at reduced tuition by registering, as appropriate, for TGS 588 Resident Master's Study, TGS 500 Advanced Doctoral Study, or TGS 512 Continuous Registration. These registrations do not count toward the accumulation of quarters of residency required for a degree. A more detailed description of these courses is given on the Curriculum page of this document.

Residency
A student pursuing a doctoral degree must complete eight quarters of residency consecutively over two years, including summers (see the PhD Timeline for Completion). Any alterations in the residency timeline can be managed through Leave of Absence requests. Per the continuous registration policy, all doctoral students must be registered at Northwestern University in each of the fall, winter and spring terms until all degree requirements have been completed, including dissertation submission to The Graduate School.
Interdisciplinary Clusters
Students interested in pursuing a PhD in Spanish and Portuguese are encouraged to find a second intellectual “home” in an interdisciplinary cluster.

- At the time of admission into their respective programs or at some point during a student's first year of study, participants in the Interdisciplinary Cluster Initiative designate an interdisciplinary "cluster" they would like to join. In their first and second years, students take some courses with other cluster members, while also participating in other cluster activities, such as conferences, seminar series, symposia, etc.

- Students admitted directly to the cluster and a department, are noted as "cluster fellows" and will be provided with two years of fellowship as part of their five-year funding package, as well as with a $1000 research account.


Certificate Programs
In addition to offering degree programs, The Graduate School offers students the opportunity to pursue graduate certificates while they pursue their degree. Graduate certificates are interdisciplinary in nature must be comprised of at least five TGS-approved courses. Any student enrolled in a doctoral program may pursue a certificate. Master's students may not earn graduate certificates nor can students apply to only enroll in a certificate program.

Enrolled students need only notify TGS by applying for the certificate at the same time that they apply for a degree (in their final year of study). Students will be asked to provide for TGS the list of courses they would like counted toward the certificate program and will be required to submit verification from the program director. Certificates will be awarded and noted on the transcript.

Alice Kaplan Institute for the Humanities Graduate Affiliates
Each year the Alice Kaplan Institute for the Humanities welcomes advanced graduate students as Institute Affiliates. The purpose of the Affiliate Program is to provide support for research and a space for cross-disciplinary discussion. Institute Affiliates form a critical part of our intellectual community, joining Institute Fellows, participants in the DIALOGUE Series, and the Distinguished Harris Lecturer in a variety of interdisciplinary events across the academic year.

- GRADUATE Affiliateships are open to advanced doctoral students working in the humanities in any school within NU
- Receive $1000 in research funds
- Enjoy the AKIH Wednesday Lunch Colloquia
• Present research in the AKIH Dissertation Forum
• Affiliates cannot be scheduled to teach on Wednesdays at noon, the time of the Institute's Lunch Colloquia
• Selections emphasize no single theme, but rather the originality, quality and importance of the research project. The applicant’s perceived ability to participate in collegial interaction is an additional criterion for selection
• A Humanities Council committee makes the final selections based upon scholarly merit and the need to insure distribution across rank and department
• Preference is given to applicants who have not previously held an Affiliate position at the Institute.

Professional Development
As a part of graduate training and as a part of preparing to enter the profession, students are expected to attend conferences, participate in graduate development workshops, and attend guest lectures and performances on campus, especially those sponsored by the department. Professional development programs and career guidance are also available at Northwestern University through academic programs, such as those offered by the interdisciplinary life sciences programs, and through University offices dedicated to assisting students to prepare for and obtain careers within and outside academia, such as the Searle Center for Teaching Excellence and University Career Services. Information about these programs, as well as about external sources of professional job listings, may be found by following the links above to the programs’ individual sites.

Professional Development Planning
The Graduate School’s professional development programming is organized according to the following framework. For information on upcoming programs and events in the following categories, please visit the Calendar of Events. Please also see the Resources and Links page to connect to additional information about Level 3 ongoing programming and services.

Where to find information on regularly scheduled conferences

LASA - Latin American Studies Association
http://lasa.international.pitt.edu/

Modern Languages Association
www.mla.org

Midwest Modern Language Association
Language Acquisition
Below are the language acquisition opportunities available to graduate students:

Summer Language Grant Program
The Summer Language Grant program (SLG) makes available up to $2000 for students who wish to improve their foreign language proficiency. Students who have a need to study a particular language for research and/or degree progression may apply for funds to support such study in an immersion program domestically or in the target country. Students must have had some exposure to the target language in order to be awarded an SLG. Details about the Summer Language Grant can be found here.

Summer Classes
PhD and MFA funded students may register for summer intensive language programs without accruing an additional tuition charge. Students can register for these courses by submitting a dual registration form (available at the Registrar’s Office or The Graduate School) to a student services representative at The Graduate School.

Courses via CIC
The Committee on Institutional Cooperation (CIC) Traveling Scholar program allows students to take classes, including language classes, at any Big 10 school, University of Chicago, and University of Illinois, Chicago.

Language Courses during the Academic Year
Students may take 100-, 200-, and 300-level language classes through the Judd A. and Marjorie Weinberg College of Arts and Sciences during the academic year. Two requirements of such a registration are:

- Students must take these classes for credit and a grade, and
- Students must take these classes as their fourth class in a given term. (This would only apply to those in full-time course work; students beyond course work would take these language courses in addition to TGS 500 registration.)

Therefore, during the academic year, students must take three graduate-level courses to maintain residency requirements in order to qualify for registration for language courses. Visit the Non-TGS Course Registration page for information on how to register for language courses and other courses outside of The Graduate School.

Student Associations
In addition to a number of discipline-based organizations and special interest groups, there are six major graduate student associations serving students on the Chicago and Evanston campuses:

- Graduate Student Association (GSA)
- Chicago Graduate Student Association (CGSA)
- Black Graduate Student Association (BGSA)
- Chinese Students and Scholars Association (CSSA)
- Graduate Student Association for Latino and Spanish Activities (G-SALSA)
- Queer Pride Graduate Student Association (QPGSA)

These groups promote interactions among graduate students, provide academic and social programs, and serve as advocates for graduate students. In addition, these groups, plus the McCormick Graduate Leadership Council, comprise the Graduate Leadership Council (GLC).
Libraries

Center for Research Libraries
Northwestern holds membership in the Center for Research Libraries (CRL), located in Chicago. The Center operates a cooperative library for less-used but important research materials available to Northwestern students and faculty through interlibrary loan. Detailed guides to the collections are located at the Reference Desk of the University Library. www.crl.edu/

Chicago Libraries
Northwestern University has an advantageous location for library resources. The Chicago area is one of the country’s most concentrated library centers. Among the libraries of scholarly interest in Chicago are:

- The Newberry Library, outstanding in Continental, English, and American history and literature, as well as philology, music, and the history of printing.
- The University of Illinois at Chicago Library; the library system of the University of Chicago; and the libraries of the Family Institute.
- The Chicago Historical Society
- The Art Institute of Chicago
- Field Museum of Natural History

Students should consult the Reference Department of the University Library about qualifications needed for use of special libraries.

Melville J. Herskovits Library of African Studies

A rich resource of approximately 275,000 volumes, plus 15,000 books in over 300 African languages, 2,800 current journals, documents, posters, videos, photographs, rare books, and other materials on every aspect of Africa. http://www.library.northwestern.edu/africana/
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### Leaves of Absence

A student who is working towards residency requirements and needs to take a leave from the university (general leave, family leave, medical leave, or childbirth accommodation), must request an official leave of absence. Students must apply for a leave of absence using the “Petition for Absence” form via TGS Forms in CAESAR. In this form, the student must set forth why a leave is necessary. Leaves of absence are not granted automatically and must be reviewed by both the department and The Graduate School. No leave is granted for less than one quarter or more than one calendar year. If a student requests renewal of a leave of absence beyond one year, the student’s record and future plans will be reviewed to determine whether an extension of the leave is in the best interests of the student, the department, and The Graduate School. Students taking a leave of absence from the University and who are not pursuing a degree are not to be enrolled. Students on a leave of absence no longer enroll, so it is clear to the University that they are not currently on campus or working toward degree completion. Students on a leave of absence are not eligible for financial aid, including loans. TGS milestone deadlines are extended by the length of the leave.
**Personnel**
Director of Graduate Studies: The Director of Graduate Studies is appointed by the Chair. Information on the current DGS, César Braga-Pinto is available on the departmental website.

All graduate faculty are eligible to chair or sit on exam or dissertation committees.

Graduate Studies Committee: The GSC consists of at least three departmental members of the graduate faculty. They are appointed by the Chair. The Director of Graduate Studies chairs the Graduate Studies Committee.

**Staff**

Jacob Plevin, Graduate Program Assistant

Elena Raluca Rustandi, Department Assistant